

# ACCOUNT OPTIONS FORM

## SECTION 1: Account Information

Account Number

Owner's Name (Last, First, Middle Initial)

Owner's Social Security Number

Date of Birth (MM/DD/YY)

Joint Owner's Name (Last, First, Middle Initial) (if applicable)

Joint Owner's Social Security Number

Date of Birth (MM/DD/YY)

Check here if new address

Address of Residence (Required) - P.O. Box not accepted

City, State, Zip Code

Mailing Address - If different from above (P.O. Boxes accepted)

City, State, Zip Code

( )  
Day Phone

( )  
Evening Phone

Check here if new phone number

E-mail Address

Check here if new e-mail address

## SECTION 2: Name Change Instructions

Please Provide:

Account Number

Please indicate your former name and what your new name has changed to.

Former Name

One and the same as:

New Name

If your name is different from what is currently shown on your account, your signature must be guaranteed in Section 9.

### SECTION 3: Automatic Investment Plan

An automatic investment plan deposits money directly into this account from your checking or savings account on a monthly, quarterly or annual basis.

Please complete this section if you would like to:

- Establish       Modify or       Discontinue an automatic investment plan

There is a \$100 requirement per term per fund.

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
RiverNorth/DoubleLine Strategic Income Fund Class I	14402	RNSIX	\$ _____		_____	%
RiverNorth/DoubleLine Strategic Income Fund Class R	14401	RNDLX	\$ _____		_____	%
RiverNorth Core Opportunity Fund Class R	14400	RNCOX	\$ _____		_____	%
RiverNorth Core Opportunity Fund Class I	14409	RNCIX	\$ _____		_____	%
RiverNorth/Oaktree High Income Fund Class I	14408	RNHIX	\$ _____		_____	%
RiverNorth/Oaktree High Income Fund Class R	14407	RNOTX	\$ _____		_____	%
<b>Total</b>			<b>\$ _____</b>		<b>100</b>	<b>%</b>

How often would you like automatic investments?

- Monthly       Quarterly       Annually

On or about which date? (e.g., 2nd, 15th) \_\_\_\_\_

If no date is specified, investments will be made on or about the 15th of each month.

- Please provide bank information in Section 7, if applicable.
- Please Note:
  - Attach a separate letter of instruction if the bank account holder is different than the RiverNorth Funds account holder.
  - The minimum automatic investment is .
  - For IRA accounts (including Coverdells), contributions made through an automatic investment plan will be considered contributions for the year in which shares are purchased.
  - A signature guarantee is required if shares are redeemed within 15 days of adding or changing bank information.

### SECTION 4: Systematic Withdrawal Plan

A systematic withdrawal plan automatically withdraws money from this account on a monthly, quarterly, or annual basis. An account balance of at least \$1000 is required.

Please complete this section if you would like to:

- Establish       Modify or       Discontinue a systematic withdrawal plan.

There is a \$100 requirement per term per fund.

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
RiverNorth/DoubleLine Strategic Income Fund Class I	14402	RNSIX	\$ _____		_____	%
RiverNorth/DoubleLine Strategic Income Fund Class R	14401	RNDLX	\$ _____		_____	%
RiverNorth Core Opportunity Fund Class R	14400	RNCOX	\$ _____		_____	%
RiverNorth Core Opportunity Fund Class I	14409	RNCIX	\$ _____		_____	%
RiverNorth/Oaktree High Income Fund Class I	14408	RNHIX	\$ _____		_____	%
RiverNorth/Oaktree High Income Fund Class R	14407	RNOTX	\$ _____		_____	%
<b>Total</b>			<b>\$ _____</b>		<b>100</b>	<b>%</b>



**SECTION 8: Signatures**

I authorize the RiverNorth Funds to make the changes indicated to my account.

I authorize the RiverNorth Funds and its agents to act upon instructions (by phone or in writing) believed to be genuine for this account or any account into which exchanges are made. I agree that neither the RiverNorth Funds nor its agents and affiliates will be liable for any loss, cost, or expense for acting on such instructions, provided the Funds employ reasonable procedures to confirm that instructions are genuine.

**ALL owners of this account must sign below:**

\_\_\_\_\_  
Signature Date (MM/DD/YY)

\_\_\_\_\_  
Signature (if applicable) Date (MM/DD/YY)

**SECTION 9: Signature Guarantee (If Required)**

A signature guarantee is required if redeeming within 30 days of changing bank information or address, in addition to sending wires, ACHs and checks to instructions other than that on record for this account.

To protect yourself against fraud, your signature(s) must be guaranteed ("Medallion Signature Guarantee") by any "eligible" guarantor. The Medallion Signature Guarantee stamp MUST include the words "Signature Guaranteed, Medallion Guaranteed" and comply with the Medallion program requirements. Signatures notarized by a Notary Public are not acceptable.

- Eligible guarantor's:
- Commercial Banks
  - Credit Unions
  - Member Firms of a domestic stock exchange
  - National Securities Exchange & Savings (STAMP SEMP NYSE-MSP participation)
  - Savings Associations
  - Trust Companies

\_\_\_\_\_  
Medallion Signature Guarantee Stamp (ID Required) Bank or Dealer Firm

\_\_\_\_\_  
Officer's Title Officer's Signature Date (MM/DD/YY)

[STAMP]

**Please mail completed form to:**

**Mailing Address**

RiverNorth  
PO Box 219427  
Kansas City, MO 64121-9427

If you have any questions, please contact an Investor Service Representative at 1-888-848-7569 or visit [www.rivernorth.com](http://www.rivernorth.com).