COVERDELL EDUCATION SAVINGS ACCOUNT TRANSFER OF ASSETS FORM



SECTION 1: Responsible Individu	al	
Responsible Individual's Name (Last, Fi	rst, Middle Initial)	
Responsible Individual's Social Security N	lumher	Date of Birth (MM/DD/YY)
Responsible individual 3 300iai 3ccurity iv	unioci	bace of Birth (wildy bb) 11)
Address of Residence - P.O. Box is not acce	pted	City, State, Zip Code
Mailing Address - If different from above (P.O. Boxes accepted)	City, State, Zip Code
()	()	
() Day Phone	() Evening Phone	E-mail Address
SECTION 2: Designated Beneficia	ary	
Beneficiary's Name (Last, First, Middle	Initial)	
Beneficiary's Social Security Number		Date of Birth (MM/DD/YY)
Address of Residence - P.O. Box is not acce	pted	City, State, Zip Code
Mailing Address- If different from above (I		City, State, Zip Code
() Day Phone	() Evening Phone	E-mail Address
SECTION 3: Current Custodian		
	rrent Custodian for the correct address and	I to find out if they require a signature guarantee. Attach a copy of the current
account statement.	ment outloaidh for the correct dudress dhe	To find out it they require a signature gautaneer. Actually a copy of the current
Name of Current Custodian or Agent		
Name of Guitent Gustoulan of Agent		
Mailing Address - (P. O. Box or Street)		City, State, Zip Code
()	()	
Day Phone	Evening Phone	
SECTION 4: Investment Instruction	ons	
Type of Request		
☐ I am opening a new account(s) and have	re attached the required application(s) and o	document(s).
☐ I already have an RiverNorth Funds Co	verdell ESA Account. Please invest proceed	ds into my account Existing Coverdell ESA Account Number

SECTION 4: Investment Instructions (continued)

Use the investment instruction below to identify the amounts for this deposit; otherwise the investment instructions on your original application will be used.

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
RiverNorth/DoubleLine Strategic Income Fund Class I	14402	RNSIX	\$			%
RiverNorth/DoubleLine Strategic Income Fund Class R	14401	RNDLX	\$			_ %
RiverNorth Core Opportunity Fund Class R	14400	RNCOX	\$			_ %
RiverNorth Core Opportunity Fund Class I	14409	RNCIX	\$			_ %
RiverNorth/Oaktree High Income Fund Class I	14408	RNHIX	\$			 %
RiverNorth/Oaktree High Income Fund Class R	14407	RNOTX	\$			 %
Total			\$		100	_) %

SECTION 5: Transfer Instructions					
The following investment(s) will be trans	sferred to BOKF, NA. as Custodian	for the RiverNorth Funds Cover	rdell ESA.		
For Certificates of Deposit, redeem:	☐ Immediately	☐ At Maturity Date			
Investment #1					
Fund Name/Type of Investment		Account N	umber		
☐ Liquidate	☐ Transfer in Kind	☐ Entire Account	☐ Partial Account \$	or	%
Investment #2					
Fund Name/Type of Investment		Account N	umber		
☐ Liquidate	☐ Transfer in Kind	☐ Entire Account	☐ Partial Account \$	or	9

SECTION 6: Instructions to the Responsible Individual

Please Read Carefully

This form will be used by the RiverNorth Funds to initiate a transfer of assets to your Coverdell ESA at the RiverNorth Funds. Please remember that a TRANSFER OF ASSETS can only occur between the **SAME** types of retirement plans (for example Coverdell to Coverdell). For certificates of deposit, please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and the appropriate new account application for your Coverdell ESA (if required) to:

Mailing Address RiverNorth PO Box 219427 Kansas City, MO 64121-9427

SECTION 7: Instructions to Resigning Custodian/Transfer Agent

Please liquidate the Participant's account(s) as specified in Section 5 of this application. Issue a check payable as indicated below and mail along with any other instructions to:

Mailing Address RiverNorth PO Box 219427 Kansas City, MO 64121-9427

SECTION 8: Signatures

I authorize the transfer of assets as noted above to my RiverNorth Funds Coverdell ESA and BOKF, NA to process this request on my behalf. I understand, as the Responsible Individual, it is my responsibility to assure the prompt transfer of assets by the current Custodian. I have read and understand all information in the instructions and hereby provide the applicable direct rollover certification.

Signature of Responsible Individual	Date (MM/DD/YY)

SECTION 9: Medallion Signature Guarantee

A **Medallion Signature Guarantee** is required when distributing money to an address/bank other than the address/bank of record or making the payment to a party other than the owner of record.

To protect yourself against fraud, your signature(s) must be guaranteed ("Medallion Signature Guarantee") by any "eligible" guarantor. Signatures notarized by a Notary Public are not acceptable.

A Medallion Signature Guarantee is required for adding or changing bank information in addition to authorizing wire transfers on this account.

Eligible guarantor's: Commercial Banks

Credit Unions

Member Firms of a domestic stock exchange

National Securities Exchange & Savings (STAMP, SEMP, NYSE-MSP participation)

Savings Associations

Medallion Signature Guarantee Stamp (*ID Required*)

Bank or Dealer Firm

Officer's Title

Officer's Signature

Date (MM/DD/YY)

[STAMP]

SECTION 10: BOKF, NA

BOKF, NA, accepts its appointment as Custodian of the referenced Coverdell ESA and has established a Coverdell ESA as indicated on the front of this form under the Internal Revenue Code Section 530 for Coverdell ESAs under the shareholder's name in the RiverNorth Funds. The RiverNorth Funds and BOKF, NA, as Custodian, cannot accept assets other than cash in the form of a check. Upon receipt of the check, the proceeds will be credited to the named Participant's account.

Accepted by BOKF, NA, as Custodian for the RiverNorth Funds Coverdell ESAs.

BOKF, NA Authorized Representative

Date (MM/DD/YY)

Please mail completed form to:

Mailing Address RiverNorth PO Box 219427 Kansas City, MO 64121-9427

If you have any questions, please contact an Investor Service Representative at 1-888-848-7569 or visit www.rivernorth.com.